

APPLICATION FOR PARTICIPATION IN THE SKD⁽¹⁾

Item	To be completed by the participant
Name of participant ⁽²⁾	
Registered office/residential address - street	
Registered office/residential address municipality	
Registered office/residential address - postcode	
Registered office/residential address - country	
Identification number/personal number of participant ⁽³⁾	
Identity card ⁽⁴⁾	
Agent's cash number ⁽⁵⁾	
Number of signatures for instructions ^{(5), (6)}	
Basic capital	
Proportion of foreign capital	
Requested position in the SKD	x
Agent	
Custodian	
Client	

Appendices ^{(7), (8), (9), (10), (11), (12)}

In, on

.....
Signature ⁽¹³⁾

Explanatory notes:

(1) The applicant shall send the application for participation in the SKD to the operator of the SKD, which also provides information on the conditions of participation.

(2) According to the excerpt from the commercial register or, as the case may be, from any other similar register, or the identity card.

(3) A foreign individual (natural person) shall state his date of birth.

(4) Type of the identity card, its number, validity, by which authority it was issued.

(5) Only to be completed by someone applying for the position of agent.

(6) Number of agent's signatures (1, 2) for instructions shall be asked for every type of agent's instructions.

(7) An agent may only begin operations if he has at least one employee who is recorded in the SKD as employed in the agent's office. The agent shall thus submit an application for the registration of an administrator, the agent's administrator shall subsequently register employees.

(8) In the appendix to the application shall state the applicant the completed forms for the Client/Custodian Specimen Signatures and Contact Persons and Addresses of the Client/Custodian; the applicant for the position of agent also the Agent Specimen Signatures and Contact Persons and Addresses of the Agent.

(9) In the appendix to the application a domestic legal entity shall state the following details:

a) in the case of an agent, his or his bank's statement that he/it agrees to his/its financial account being debited by payment from a third party upon the instruction of the SKD. The agent shall also state his tax identification number,

b) upon the request of the CNB further details necessary for the preparation of the contract on participation in the SKD and on an asset account in the SKD.

(10) In the appendix to the application a foreign legal entity shall state the following details:

a) an official document confirming the existence of the legal entity, date of establishment and its registered office. The accuracy of documents shall be confirmed by an impression of the Apostille stamp or a stamp of the embassy or legation of the Czech Republic in the country in which the legal entity has its registered office. Unless any other procedure for verifying public instruments is stipulated in an international treaty, a verified translation into Czech shall be attached to these documents; i.e. if a foreign legal entity has its registered office in a country which has signed the European Convention on the Abolition of Legalisation of Documents executed by Diplomatic Agents or Consular Officers, or a bilateral agreement, it shall be proceeded in line with these documents,

b) in case of a client/custodian, an official document of his tax domicile. This document must be updated on a regular basis, namely at least once a year,

c) a list of members of the statutory body or a power of attorney verified by a notary giving the entrusted parties the authority to sign on behalf of the relevant company with a verified translation into Czech,

d) in case of a client/custodian - a foreign legal entity having its registered office or place of business outside the states of the European Union or the European Economic Area shall submit a legal opinion elaborated by a renowned lawyer's office (engaged in the international law or the law of the given state) with a licence to perform its activity in a state of the European Union or in the European economic area and with the respective insurance of this activity, in which it will be certified that the legal order, by which bankruptcy proceedings with respect to the foreign legal entity's assets or any other possible measures of a public authority directed against the foreign legal entity's assets are governed, does not impede the irrevocability of the orders for settlement stipulated by Czech law. This certificate, submitted together with a verified translation into Czech, must

be updated on a regular basis, at least once a year. Further to an agreement with the CNB, it is not necessary to submit the certificate (in case that the CNB already received such a certificate no older than one year about the legal order of the given state),

e) the details pursuant to points c) and d) in Czech or in English.

(11) In the appendix to the application a domestic individual (natural person) shall state the following details:

a) for the purposes of the SKD, the identity shall be verified by an official document (ID card, passport, driving licence, gun licence, birth certificate),

b) upon the request of the CNB further details necessary for the preparation of the contract on an asset account in the SKD.

(12) In the appendix to the application a foreign individual (natural person) shall state the following details:

a) an official document of his tax domicile; this document must be updated on a regular basis, at least once a year,

b) in case of a foreign individual entity having its place of business outside the states of the European Union or the European Economic Area shall submit a legal opinion elaborated by a renowned lawyer's office (engaged in the international law or the law of the given state) with a licence to perform its activity in a state of the European Union or in the European economic area and with the respective insurance of this activity, in which it will be certified that the legal order, by which bankruptcy proceedings with respect to the foreign legal entity's assets or any other possible measures of a public authority directed against the foreign legal entity's assets are governed, does not impede the irrevocability of the orders for settlement stipulated by Czech law. This certificate, submitted together with a verified translation into Czech, must be updated on a regular basis, at least once a year. Further to an agreement with the CNB, it is not necessary to submit the certificate (in case that the CNB already received such a certificate no older than one year about the legal order of the given state),

c) or the purposes of the SKD, the identity shall be verified by an official document (ID card, passport, driving licence, gun licence, birth certificate),

d) the details pursuant to points b) in Czech or English.

(13) The application shall bear the date and signature of the statutory body of the legal entity or individual (natural person) who makes the application.

Authorization being assigned:	
Authorization to issue securities in the SKD ^{(14), (17)}	<input type="checkbox"/>
Scope of automatically assigned authorizations:	
Authorization to purchase on the primary market ^{(16), (17), (18)}	<input type="checkbox"/>
Authorization to sell on the secondary market ^{(16), (17)}	<input type="checkbox"/>
Authorization to purchase on the secondary market ^{(16), (17)}	<input type="checkbox"/>
Authorization to operate a point of auction ⁽¹⁵⁾	<input type="checkbox"/>
Authorization to act as an agent for other participants ⁽¹⁵⁾	<input type="checkbox"/>

(14) Authorization to issue securities is not the CNB's consent to individual issues of the relevant issuer.

(15) Only the agent obtains such authorization.

- (16) The custodian obtains such authorization.
- (17) The client obtains such authorization.
- (18) Authorization to purchase on the primary market does not mean the automatic inclusion of the client in the category of direct participants in securities auctions registered in the SKD.